

## SECURITIES ORDER FORM

|                   |                  |
|-------------------|------------------|
| DATE              | CDS A/C NUMBER   |
| ID/ PASSPORT NO.  | TELEPHONE NUMBER |
| REG CERTIFICATE   |                  |
| CLIENT FULL NAMES |                  |
| EMAIL ADDRESS     |                  |

I/We would like to place an order for shares as per the details below:

| Buy/Sell (Indicate) | Security Name | No. Of Shares | Other Details |
|---------------------|---------------|---------------|---------------|
|                     |               |               |               |
|                     |               |               |               |

### Purchase Settlement Instructions (Please tick) :

Please debit the purchase settlement mount directly from my/our account no.....  
held with yourselves.  I have credited the shares trading account no. 9980010057004 directly.

**Sale Settlement Instructions** : Please credit the proceeds of shares sold to my account number  
.....held at .....

Please indicate the source of funds in case of a purchase order by ticking the applicable source:

Savings  Sale of Investments/ Property  Pension  Gift/Prize

### Data Protection Privacy Notice

The information that you input here shall be retained by us strictly for our own use in line with the Data Protection Act No. 24 of 2019, our Privacy Policy and the Privacy Notice and I consent to the application of the Data Protection Act No. 24 of 2019 and the Bank's Privacy Policy and the Privacy Notice to all information provided to the Bank. This Privacy Notice may be updated from time to time and the most recent version can be found on our website or with our customer care representative. If you would like any further information contact our Data Protection Officer or kindly contact us at ABC Bank House, Woodvale Grove, Westlands, P. O. Box 38610 – 00800, Nairobi addressed 'for the attention of the DPO'.

|                           |  |
|---------------------------|--|
| <b>Client Signature/s</b> | The execution of this order is subject to all laws, regulations, decrees, administrative rules and orders of the Kenya Government, Capital Markets Authority, Nairobi Securities Exchange (NSE) and Central Depository and Settlement Corporation Ltd (CDSCL). This order is also governed by and subject to the terms and conditions of Custodial Services Policies and Procedures in effect from time to time at ABC Bank Ltd. To confirm execution of your orders please reach your nearest ABC Bank Branch or call 020-4263000 |
|---------------------------|--|

### Note:

- Orders placed before 2pm will be executed by end of day subject to market availability.
- You are required to open a transaction account with ABC Bank for ease of transacting.

### Bank use only:

Funding / Securities Confirmation:

Bank Account Balance:..... CDS Account Balances:.....

Verified by:.....Signature..... Date.....

Authorized by:.....Signature..... Date.....

**We African Banking Corporation Ltd confirm that we have verified the client CDS account balances as indicated above. We indemnify ABC Capital Ltd or any other broker listed in the Nairobi Securities Exchange who executes this order based on this verification against all damages, losses and other associated costs and charges which may be incurred as a result of an erroneous verification.**